IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ulrich MUELLER, et al.

SERIAL NO:

10/611,863

GAU:

FILED:

July 3, 2003

EXAMINER:

FOR:

PROCESS FOR THE ALKOXYLATION OF MONOOLS IN THE PRESENCE OF METALLO-ORGANIC

FRAMEWORK MATERIALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Roland E. Martin Registration No. 48,082

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ulrich MUELLER, et al.

SERIAL NO: 10/611,863

FILED:

July 3, 2003

GAU: **EXAMINER:**

FOR:

PROCESS FOR THE ALKOXYLATION OF MONOOLS IN THE PRESENCE OF METALLO-ORGANIC

FRAMEWORK MATERIALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Roland E. Martin Registration No. 48,082

-:

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

OTPE OT 1 2003 SHEET 1 OF 1

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE			ATTY DOCKET NO.		SERIAL NO.			
(Modified)		PATENT AND TRADEMARK OFFICE		239953US0X		10/611,863		
APPLICANT								
LIST OF REFERENCES CITED BY APPLICANT Ulrich MUELLER, et al.								
				FILING DATE		GROUP		
				July 3, 2003				
U.S. PATENT DOCUMENTS								
EXAMINER		DOCUMENT	DATE	NAME	NAME CLASS		SUB FILING DATE CLASS IF APPROPRIATE	
INITIAL		NUMBER				CLASS	IF APPROPRIATE	
	AA	5,648,508	07/15/97	O. M. YAGHI	-			
	AB	2003/0050487 A1	03/13/2003	U. MULLER, et al.				
	AC	6,348,607	02/19/2002	MÜLLER, et al.		 -		
	AD	6,479,680	11/12/2002	P. BASSLER, et al.				
	AE	6,518,441	02/11/2003	G. H. GROSCH, et al.		 		
	AF		<u> </u>]		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	OUNTRY		TRANSLATION YES NO	
	AG	0 790 253	08/20/97	EUROPE	<u>.</u>	 		
<u></u> .	AH	101 11 230	09/19/2002	GERMANY (with English Abstract)			Х	
	Al			·····				
A		0 557 116	08/25/93	EUROPE				
	AK 100 32 885 01/17/2002 GERMANY (with corr. CA 2 414 779)				Х			
	AL	2 414 779 01/06/2003 CANADA						
	AM	100 32 884	01/24/2002	GERMANY (with corr. CA 2 414 756)			X	
	AN	2 414 756	01/06/2003	CANADA		T		
	AO	100 15 246	10/04/2001	GERMANY (corr. US 2003/0050487 A1	GERMANY (corr. US 2003/0050487 A1)		X	
	AP	199 36 547	02/15/2001	GERMANY (with corr. CA 2 387 122)			X	
	AQ	2 387 122	02/15/2001	CANADA				
	AR	198 47 629	04/20/2000	GERMANY (corr. US 6,348,607)	GERMANY (corr. US 6,348,607)		X	
	AS	198 35 907	02/17/2000	GERMANY (corr. US 6,479,680)			x	
	АТ	197 23 950	12/10/98	GERMANY (corr. US 6,518,441)		<u> </u>	X	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
M. O' KEEFFE, et al., Journal of Solid State Chemistry, vol. 152, pages 3-20, "SECTION 1: TUTORIAL. FRAMEWORKS AU FOR EXTENDED SOLIDS: GEOMETRICAL DESIGN PRINCIPLES", 2000								
	AV	H. LI, et al., Letters to Nature, vol. 402, pages 276-279, "DESIGN AND SYNTHESIS OF AN EXCEPTIONALLY STABLE AND HIGHLY POROUS METAL-ORGANIC FRAMEWORK", November 18, 1999						
	AW	M. EDDAOUDIA, et al., Topics in Catalysis, vol. 9, pages 105-111, "DESIGN AND SYNTHESIS OF METAL- CARBOXYLATE FRAMEWORKS WITH PERMANENT MICROPOROSITY", 1999						
	B. CHEN, et al., Science, vol. 291, pages 1021-1023, "INTERWOVEN METAL-ORGANIC FRAMEWORK ON A PERIODIC							
	AX	MINIMAL SURFACE WITH EXTRA-LARGE PORES", February 9, 2001						
	AY	H. BÜTTNER, Pure & Applied Chem, vol. 45, pages 69-73, "THE INTERNATIONAL FEDERATION OF CLINICAL CHEMISTRY (IFCC) AND REFERENCE METHODS", 1976						
	M. EDDAOUDI, et al., Science, vol. 295, pages 469-472, "SYSTEMATIC DESIGN OF PORE SIZE AND FUNCTIONALITY AZ IN ISORETICULAR MOFS AND THEIR APPLICATION IN METHANE STORAGE", January 18, 2002							
	1				☐ Ad	ditional Re	ferences sheet(s) attached	
Examiner					Date C	Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in								
conformance and not considered. Include copy of this form with next communication to applicant.								